President Mary Barth called the meeting to order at 8:30 am. A quorum was present.

**Minutes**

**A. Welcome** – Mary Barth welcomed everyone and introduced Dale Karren, Petrous, Inc. and Hugh Webster, Association Counsel. Mary Barth recognized BDO as a new Premier Sponsor of the Association and thanked Alan Reinstein for his help with facilitating the sponsorship. The agenda was reviewed and no additions/changes were made.

**MSC to approve the minutes of the August Board meeting.**

**B & C. Sharpening Our Vision II** – Tracey Sutherland reviewed the morning session of Sharpening Our Vision II (SOV II). Tracey recognized the expertise and support the Board experienced during the 2012-2013 Sharpening Our Vision I (SOV I) process with Mike Diamond, AAA Past President. She introduced Dale Karren, Petrous, Inc. Dale will facilitate the Sharpening Our Vision II during the 2013-2014 year. Tracey informed the Board that during this Board meeting we will reconfirm our Vision and Desired Future States, confirm plans for SOV II next steps, and discuss and document roles and responsibilities. The plan is to review and update key elements that will allow the Board to move forward with SOV II.

Julie Smith David reviewed the Roles and Responsibilities document that details functions to be performed by the Management Team, Board of Directors, Council, and the Professional Staff. The roles and responsibilities document will be updated and discussed at future meetings of the Management Team, Board of Directors, and Council.

**Minutes (continued)**

MSC – Motion made, seconded and carried
D. Board Roles - Tracey Sutherland introduced Hugh Webster, Association Counsel. Hugh provided the Board with a perspective of what the law requires and allows, and what the role should be of the Board of Directors.

E. Council Meeting Agenda and Planning - Pat Poli and Hughlene Burton reviewed the Council agenda and plans for the Saturday Council meeting.

F. Finance - Dale Flesher updated the Board on the Audit Committee meeting. Compliments were given to David Frazier, Director of Finance, on his management of the Audit Committee meeting. Dale reminded the Board they will be asked to sign the Conflict of interest and Code of Ethics polices at the Council meeting. Dale will distribute Whistle Blower Policy to staff.

G. Strategic Relationships – Recep Pekdemir reported on Global Engagement and Perspectives. Recep stressed the importance of communication and dissemination of information to our sister organizations. The Global Engagement Task Force has recommended the making of short videos that can be viewed on our website and how the AAA might better serve scholars from emerging countries at the Annual Meeting. The suggestions will be addressed by the ideas Global Engagement Task Force at a future meeting. Additional ideas include creating a list of members who would be willing to serve as keynote speakers for conferences or other gatherings outside of the US and Doctoral Consortia availability to junior faculty member and doctoral students will be referred to Strategic Initiatives Task Force and the Doctoral Consortia Task Force to consider. Recep will communicate with the Global Gathering group about the preparation of a white paper regarding journal rankings.

H. Executive Director’s Report – Tracey provided an update on Membership types and trends. She reported on the 2013 Annual Meeting and Conference on Teaching and Learning (CTLA) attendance totals, the Faculty Student Collaborations in Accounting Workshop (FASTCA-13), and the TED talk style presentations at plenaries. Tracey thanked EY for their support of the 2013 Service Project that provided 514 backpacks to the children of Anaheim. The TLC/KPMG WOW event was a success with a total of 250 tickets sold for the event. She updated the Board on the Career Center and noted the addition of privacy curtains between the interview tables. The Career Center saw the highest number of employers participating in the past five years. Tracey reminded the Board of the Slate of Officers for the 2014 - 2015 Board of Directors and noted the Director-Focusing on Membership would be announced following the meeting of Council on November 16th. The 2013 Accounting PhD Rookie Recruiting and Research Camp will be held December 6 – 8th in Miami. Tracey thanked the Deloitte Foundation for their sponsorship of this internationally recognized event. She updated the Board on the status of the litigation issue.
I. Research & Publications

1. Research Advisory Committee – Steve Kachelmeier reviewed the eligibility criteria for the Distinguished Contributions to Accounting Literature Award.
   a. Current Situation – The Distinguished Contributions to Accounting Literature Award stipulates: “Papers that have already been recognized with a Notable Contributions to Accounting Literature Award are not eligible for the Distinguished Contributions Award to Accounting Literature.”

   Steve Kachelmeier moved to remove this restriction from the award criteria.

MSC to accept that such papers are eligible to receive the Distinguished Contributions to Accounting Literature Award.

   Steve also updated the Board on the Studies in Accounting Research Monograph. The review team is in place and the draft should be completed by the end of March 2014.

2. Publications Committee Update - Steve Kachelmeier reported that the Publications Committee will meet in Dallas, TX on February 9, 2014. He updated the Board on the Call for Nominations for the next Accounting Horizons editor that closed on November 14.

3. Publications Ethics Task Force Update - Julie Smith David reviewed the draft Plagiarism Policy and updates that were made to the Cultural Norms.

MSC to accept the Publications Ethics Policy, Part A: Plagiarism.

J. The meeting recessed at 5:00 pm.

President Mary Barth reconvened the meeting Sunday, November 17th at 8:30 am. A quorum was present. Steven Kachelmeier, Vice President-Research & Publications, and Shaun Budnik, Director-Focusing on Academic/Practitioner Interaction, were unable to attend.

K. Sharpening Our Vision II – Tracey Sutherland led a conversation regarding the discussion on Desired Future States from the previous day’s Council meeting. The Professional Staff will incorporate feedback by the end of the year for the Desired Future States and Roles and Responsibilities. The Board will review and provide feedback by February 1st. The Desired Future States will be posted on the Sharpening Our Vision website for public comment on February 15th.

As part of developing the plan for SOV II two task forces have been formed. The Strategic Initiatives Task Force, under direction of Christine Botosan and Tracey Sutherland, will review SOV I recommendations and make recommendations for new services and structures. The Strategic Operations Task Force under direction of Stephen Moehrle and Julie Smith David, will identify challenges with current structure and operations and make recommendations regarding governance and operations.

MSC to amend the budget to provide $50,000 for the Strategic Initiatives and Strategic Operations Task Forces allowing support for onsite meetings, webinars, and conference calls.

L. Annual Meeting: Taking a Longer Term View – Julie Smith David provided a strategic overview of previous Annual Meetings. The Conference on Teaching and Learning (CTLA) was a membership initiative and the CTLA is a result of previous strategic planning. Christine Botosan discussed the advantages of

MSC – Motion made, seconded and carried
identifying Annual Meeting themes earlier. Identifying the themes earlier allows for earlier planning of specific events, and earlier marketing, and makes connections between years possible. The Management Team will develop themes, identify strategic opportunities, and identify and schedule plenaries and lunch speakers. The Board of Directors will confirm the theme choices. The Board and Council will work together to identify strategic opportunities. Identifying themes earlier will allow Council to share the theme with Segments, so they can identify strategic opportunities.

Confirmed Annual Meeting themes:
- 2015 Building Bridges (Chicago)
- 2016 The Celebration of the Century (New York City)

### M. Reports Submitted (V)
1. Centennial Task Force (Lee Parker, Chair)
2. Membership Advisory Committee (Yvonne Hinson, Chair)
3. Nominations Committee (Gregory Waymire, Chair)
4. Segment Updates – (Anne Christensen)

The reports were accepted for filing.

N. The meeting adjourned at noon.